



DECLARATION OF INTENT IN VIEW OF ESTABLISHING THAILAND'S PRIVATE SECTOR COLLECTIVE ACTION COALITION AGAINST CORRUPTION

The Opinion Survey of Private Sector Leaders on Anti-Corruption Collective Action in Thailand, developed by the Thai Institute of Directors, and jointly conducted with the Thai Chamber of Commerce, the Joint Foreign Chambers of Commerce in Thailand, the Thai Listed Companies Association, the Thai Bankers Association, The Federation of Thai Capital Market Organizations, the Federation of Thai Industries and Tourism Council of Thailand, made clear once again that corruption in all its forms is a major handicap for the country's development.

The Survey also revealed a growing commitment and willingness by the private sector to contribute to the elimination of corruption by strengthening ethical behavior in all business activities.

In order to reach that goal, participants to the initiative described in this document declare their intent to enter a process of Collective Action by the private sector with the objective to create a Coalition Against Corruption, that will work in cooperation with Government, civil society, media, and international organizations to foster cleaner business practices.

Based on the framework set by the United Nations Global Compact's 10th Principle Againsts Corruption, on the Business Principles for Countering Bribery established by Transparency International, as well as the work done by the World Bank, the Center for International Private Enterprise and other international organizations and initiatives, the participants to this Thai private sector initiative agree to work together in steps.

The Coalition will seek to involve all public and private sector partners, the oversight agencies and all parts of the Thai society to form a community to be concerned with shared processes involving their common interests, experiences and wishes, with a view toward improving the country's competitive advantages and achieving higher levels of development, toward which end long-term goals have been established that will make it possible to decrease currently existing levels of corruption.

This Coalition intends to fully support and participate to the Nation Anti-Corruption Strategy devised and implemented by the National Anti-Corruption Commission and the Government. We realize that individual and collective commitment from businesses to level the playing field and to build integrity in the business environment is required to ensure the full success of that strategy.

As directors and executives of established companies in Thailand, the participants to this Thai private sector initiative acknowledge our companies' responsibility to lead by example in the fight against corruption, to operate businesses ethically, with integrity and to implement business principles to counter corruption in all its forms.



In view of the above, participating companies to this Coalition:

1. Will work internally to assess risks related to corruption, implement Anti-Corruption policies and compliance programs and provide business conduct guidance to managers and employees.

- 1.1. These policies will prohibit bribery in any form in all activities under our control and ensure that charitable and political contributions, business gifts, and sponsorships are transparent and will not be for the purpose of attempting to influence the recipient, whether government or private, into an improper exercise of functions, duties or judgment;
- 1.2. These policies will be publicized internally through codes of conduct, to guide management and employees towards ethical and accountable behaviour at all times, and will apply appropriate sanctions for violations of the code;
- 1.3. Training programs will be provided to employees to promote integrity, honesty, and accountability in the exercise of their duties and responsibilities and to convey with resolve our company's commitment to ethical business practices;
- 1.4. Appropriate internal systems and controls will be implemented to prevent unethical conduct by employees, ensure good governance, and institutionalize the values of integrity and accountability in our business;
- 1.5. Appropriate financial reporting mechanisms will be maintained that are accurate and transparent;
- 1.6. Appropriate channels of communication will be provided to employees and other stakeholders so they can raise ethical concerns and report suspicious circumstances in confidence without risk of reprisal, and a designated officer will be tasked with investigating all reports received;

2. Will disclose and share internal policies, experiences, best practices and success stories to foster ethical, clean, and transparent business transactions in Thailand.

- 2.1. We will support a nationwide initiative intended to create fair market conditions, transparency in business transactions and ensure good corporate governance;
- 2.2. We will participate in roundtable discussions, meetings and forum to identify the key concerns and current problems affecting the private sectors related to integrity and transparency in business transactions;



3. Will reach out to industry peers, suppliers and other stakeholders via the Coalition and participate in joint activities to fight corruption.

- 3.1. We will share “best practice”, tools and concepts which are intended to be used by all participating entities to achieve the goals of the nationwide initiative;
- 3.2. We will enter into integrity pacts with other businesses and with government agencies if required when dealing with procedures related to the bidding and procurement of supplies, materials, equipment and construction;
- 3.3. We will assist and contribute ideas to develop a common framework for the "Business Code of Conduct" acceptable to all participating entities;
- 3.4. We will participate in the creation of key measures and control activities intended to ensure transparency, integrity and ethical business practice;
- 3.5. We will cooperate with civil society and the media to create awareness and educate the public in changing social values to condemn corruption in all forms;
- 3.6. We will support the development of an audit and certification program (including a training program for advisers and auditors) that will offer a toolbox for enterprises to introduce and implement ethical practices in their business processes; and institutionalize the whole process to promote sustainability of this Anti-Corruption Coalition initiative;
- 3.7. We will cooperate with the certification process of the Collective Action Coalition Council, due to take place every three years.

Company joining the CAC is obliged to comply with criteria, guidelines, and conditions specified in the

- ❖ CAC Principles & Working Definitions
- ❖ Guidelines for companies joining the CAC
- ❖ Disclose accurate and comprehensive information relevant to details specified in the self-disclosure company profile and
- ❖ Self-Evaluation tools on Anti-Corruption measures.



The company agrees that documents attached to this Declaration of Intent are part of the Declaration and that they are accountable for by the company. On behalf of the company, you confirm that you have read and fully understand contents of this Declaration of Intent and all attached documents and hereby sign:

Acknowledged by :

Accepted by :

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Position :

Chairman,

Company :

The Collective Action Council

Date :

Date



Company Profile for joining

Private Sector Collective Action Coalition Against Corruption

1. Company Background

1.1 Company Name

1.2 Registration Number.

1.3 Is a Listed-company in Thai Stock Exchange Market? Yes No

1.4 Please identify that Does your company is a member of which organization.

- Thai institute of Director Association Thai Chamber of Commerce
- Joint Foreign Chambers of Commerce in Thailand Thai Listed Companies Association
- Federation of Thai Industries Thai Bankers Association
- Other

1.5 For joining SME certification, Owner or Top management has been attended the SME Executive Briefing or not. If Yes, please specific Date.....

Name.....Position.....

Name.....Position.....

Remark: SME company definition is non-listed and not under control of Listed Company and SME has income less than 1,000 Million Baht per annual.

2. Within last 2 year (since present-backward 2 years), Does your company and / or directors / executives has a negative news related to corruption or not?

Yes* No

(If Yes, Please identify that The case ended under investigation)

*Remark – Company shall disclose all information based on fact of the case and/or under investigated by government agencies or regulator institutions, including the case caused by company and subsidiary and affiliates in Thailand and other operated location and/or affiliates in the same group- in case of multinational company. Company must report the case in written letter following Screening process rule of CAC committee.

3. Project Coordinator of the company with CAC



3.1 Name-Surname.....
 3.2 Position
 3.3 Phone Number.....
 3.4 Address
 3.5 Email

4. The audit firm of the Company for currently in service.

4.1 Company Name.....

4.2 Which this auditor has been registered in the name list of Securities and Exchange Commission Thailand or not?

Yes

No

5. Total size of the Company by revenue (latest year)

Over than 10,000 Million Baht

Between 5,001-10,000 Million Baht

Between 1,000-5,000 Million Baht

Below 1,000 Million Baht

Signed. [by CEO or Chairman]

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Position:

Contact: Email..... Phone.....

Date:

Please send the filled form to IOD through

Kittidej@thai-iod.com or FAX : 02-955-1156-7